

Change in Ownership Authorization

In order to process the pending transfer, OptionsHouse, LLC ("OptionsHouse") requires the following information to be completed. Note that this form requires the signatures of each account holder indicated on the account at the 'delivering firm'.

(Please complete if the type of account at OptionsHouse is different from the type of account you are transferring from.)

To OptionsHouse: I hereby authorize a transfer of all assets from/to the following accounts:

Transfer From: Delivering Firm: _____
 Account Number: _____
 Account Title: _____

Transfer To: OptionsHouse Account Number: _____
 Account Title: _____

I understand this transfer constitutes a change in ownership of the assets and that the new registered account holders will have exclusive rights to the assets.

Primary Applicant Signature: _____ **Date:** _____

Secondary Applicant Signature: _____ **Date:** _____
(if applicable)

*** Completion of this form does not guarantee acceptance by delivering Firm.***